Personal Profile Worksheet

RESEARCH
FINANCIAL GROUP

PRIMARY

Name:__________________ DOB: ____ /____ /_______

Phone #:______________ Email: _____________

Employer: __________________ Salary: ______________

Occupation / Job Title: ______________________________

Home Address: ___________________________________

City: ________________ State: ______ Zip: ________

SPOUSE

Name:______________________ DOB: ____ /____ /________

Phone #:______________________________________

Employer: ________________ Salary: _____________

Occupation / Job Title: __________________________

DEPENDENTS

Name: ____________Age: ____ Name: __________Age:____

Name: ____________Age: ____ Name: __________Age:____

BANK BALANCES / LIQUID ASSETS

	Institution	Balance
Cash:	______________	___________________
Checking:	______________	___________________
Savings:	______________	___________________
Money Market:	______________	___________________

REAL ESTATE ASSETS

	Value
Primary Residence:	____________________
2nd Home:	____________________
Investment Property:	____________________
Other:	____________________

INVESTMENTS (IRA, 401(k), 403(b), other)

Owner	Type	Value	Notes/Misc.

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LIFE INSURANCE

Insured	Company	Type	Death Benefit

LIABILITIES

	Balance	Int. Rate	Mo. Payment
Mortgage			
Home Equity			
Credit Card			
Auto Loan			
Other			

MISCELLANEOUS

What specific questions would you like to address during your initial discussion?

____

____

What financial planning topics do you wish to address (check all that apply)?

( ) **Cash Flow**	( ) **Tax Planning**	( ) **Investment Mgmt.**	( ) **Estate Preservation**
( ) **Retirement Planning**	( ) **Life Insurance Needs**	( ) **Home Purchase**	( ) **College Savings**
( ) ______________	( ) ______________	( ) ______________	( ) ______________

Are there any family circumstances that may impact your Plan?

____

____

What life changes (if any) prompts you to seek financial advice?

____

____

What age do you expect / want to retire? **Primary:**____________________ **Spouse:**____________________

Do you expect to work part time in Retirement? ( ) **YES** ( ) **NO**

Please rate your knowledge:

Mutual Funds	( ) **None**	( ) **Moderate**	( ) **Very**
Stocks	( ) **None**	( ) **Moderate**	( ) **Very**
ETFs	( ) **None**	( ) **Moderate**	( ) **Very**
Bonds	( ) **None**	( ) **Moderate**	( ) **Very**

Please express your expectations of working with a financial advisor

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PROVIDING CLEAR, GOAL-ORIENTED STRATEGIES THAT ENABLE CLIENTS TO WORK TOWARD FINANCIAL BALANCE